

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: McCarty

Attorney Docket: COM004-C1

Filed: Herewith

Examiner: TBD

Serial No.: TBD

Art Unit: TBD

For: Nyquist Filter and Method

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

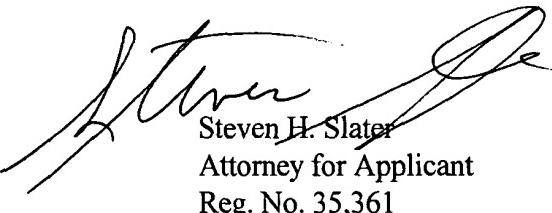
INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The references cited on the enclosed PTO Form 1449 were all submitted in the parent of this continuation application, application serial no. 09/302,078, filed April 28, 1999. Therefore a copy of the citations has not been included.

It is requested that the information disclosed herein be made of record in this application. It is believed that no fee is due as this Information Disclosure Statement is being filed concurrently with the patent application. If any fees are due, please charge Deposit Account 50-1065 or credit any overpayments to the same.

Respectfully submitted,



September 9, 2003
Date

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Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	TBD
Filing Date	Herewith
First Named Inventor	McCarty
Art Unit	TBD
Examiner Name	TBD

Sheet	1	of	1	Attorney Docket Number	COM004-C1
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (<i>if known</i>)			
1	US-4,339,724		07-13-1982	Feher	
2	US-4,567,602		01-28-1986	Kato, et al.	
3	US-4,843,615		06-27-1989	Davis	
4	US-4,879,745		11-07-1989	Arbel	
5	US-5,253,271		10-12-1993	Montgomery	
6	US-5,309,482		05-03-1994	Wright, et al.	
7	US-5,357,502		10-18-1994	Castelain, et al.	
8	US-5,487,089		01-23-1996	Misaizu, et al.	
9	US-5,648,923		07-15-1997	Lane, et al.	
10	US-5,774,506		06-30-1998	Futagi	
11	US-5,787,079		07-28-1998	Bateman, et al.	
12	US-5,796,782		08-18-1998	Sagawa	
13	US-5,834,986		11-10-1998	Myers	
14	US-6,047,025		04-04-2000	Johnson, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)				
15	WO 98/43349		01-10-1998	Koninkl Philips Electronics NV (NL)		
16	EP 0441732A		02-05-1991	Telediffusion FSG et al.		
17	EP 0587129		09-07-1993	Nippon Telegraph & Telephone		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	18	EE 379A – Digital Communication: Signal Processing, Winter Quarter 1998-1999, internet (http://www.stanford.edu/class/ee379a/welcome.html); pgs. 153-157.	
	19	Modern Quadrature Amplitude Modulation, Principles and Applications for Fixed and Wireless Communications; (Chapter 3) "Introduction to Modems," Pentech Press Limited, 1994, pp. 80-115.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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